Nonlinear Elasticity



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Outline

- Some basics of nonlinear elasticity
- Nonlinear elasticity of biopolymer networks
- Nematic elastomers



What is Elasticity

- Description of distortions of rigid bodies and the energy, forces, and fluctuations arising from these distortions.
- Describes mechanics of extended bodies from the macroscopic to the microscopic, from bridges to the cytoskeleton.



Classical Lagrangian Description

x' x•

Reference material in D dimensions described by a continuum of mass points x. Neighbors of points do not change under distortion Material distorted to new positions R(x)

 $\mathbf{R}(\mathbf{x'})$

 $\mathbf{R}(\mathbf{x})$

$$\Lambda_{_{i\alpha}}=\frac{\partial R_{_i}}{\partial x_{_\alpha}}=\delta_{_{i\alpha}}+\eta_{_{i\alpha}}$$

Cauchy deformation tensor

$$\eta_{ilpha}={\partial}_{lpha} u_{lpha}$$



 $\mathbf{R}(\mathbf{x}) = \mathbf{x} + \mathbf{u}(\mathbf{x})$

Linear and Nonlinear Elasticity

Linear: Small deformations – Λ near 1

Nonlinear: Large deformations – $\Lambda >>1$

Why nonlinear?

• Systems can undergo large deformations – rubbers, polymer networks , ...

- Non-linear theory needed to understand properties of statically strained materials
- Non-linearities can renormalize nature of elasticity
- Elegant an complex theory of interest in its own right

Why now:

- New interest in biological materials under large strain
- Liquid crystal elastomers exotic nonlinear behavior
- Old subject but difficult to penetrate worth a fresh look



Deformations and Strain

Complete information about shape of body in $\mathbf{R}(\mathbf{x}) = \mathbf{x} + \mathbf{u}(\mathbf{x})$; $\mathbf{u} = \text{const.} - \text{translation no energy.}$ No energy cost unless $\mathbf{u}(\mathbf{x})$ varies in space. For slow variations, use the Cauchy deformation tensor

$$\begin{split} \Lambda_{i\alpha} &= \delta_{i\alpha} + \partial_{\alpha} u_{i} = \delta_{i\alpha} + \eta_{i\alpha} \\ d^{3}R &= \det \Lambda d^{3}x \\ \det \Lambda &= 1: \text{ No volume change} \\ \Lambda^{-1/2} & 0 & 0 \\ 0 & \Lambda^{-1/2} & 0 \\ 0 & 0 & \Lambda \end{split}$$

Volume preserving stretch along z-axis



Simple shear strain

Note: Λ is not symmetric

Constant Volume, but note stretching of sides originally along *x* or *y*.



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Pure Shear

Pure shear: symmetric deformation tensor with unit determinant – equivalent to stretch along 45 deg.

$$ar{\Lambda} = egin{pmatrix} \sqrt{1+\Lambda^2} & \Lambda \ & \ & \Lambda & \sqrt{1+\Lambda^2} \end{bmatrix}$$





Pure shear as stretch

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \equiv U \begin{pmatrix} x \\ y \end{pmatrix}$$

$$\Lambda_{i\alpha} = \frac{\partial R_i}{\partial x_{\alpha}} = \frac{\partial R_i}{\partial R'_j} \frac{\partial R'_j}{\partial x'_{\beta}} \frac{\partial x'_{\beta}}{\partial x_{\alpha}}$$
$$= U_{ij}^T \Lambda'_{j\beta} U_{\beta\alpha}$$

$$\begin{split} \boldsymbol{\Lambda}' &= \boldsymbol{U} \boldsymbol{\Lambda} \, \boldsymbol{U}^T \\ &= \begin{pmatrix} \sqrt{1 + \Lambda^2} + \Lambda & 0 \\ 0 & \sqrt{1 + \Lambda^2} - \Lambda \end{pmatrix} \end{split}$$



Pure to simple shear

$$\begin{split} \Lambda &= \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \sqrt{1+\Lambda^2} & \Lambda \\ \Lambda & \sqrt{1+\Lambda^2} \end{pmatrix} \\ &= \begin{pmatrix} \sqrt{1+2\Lambda^2} & 2\Lambda\sqrt{1+2\Lambda^2} \\ 0 & (1+2\Lambda^2)^{-1/2} \end{pmatrix} \\ \end{split}$$

$$\begin{split} & \text{Total Integration of the set of th$$



Cauchy Saint-Venant Strain



Elastic energy

The elastic energy should be invariant under rigid rotations in the target space: if is a function of $u_{\alpha\beta}$.

$$\begin{split} F &= \frac{1}{2} \int d^{D} x f(u_{\alpha\beta}) \\ &= \frac{1}{2} \int d^{D} x [K_{\alpha\beta\gamma\delta} u_{\alpha\beta} u_{\gamma\delta} + \tilde{\sigma}_{\alpha\beta} u_{\alpha\beta}] \end{split}$$

This energy is automatically invariant under rotations in target space. It must also be invariant under the pointgroup operations of the reference space. These place constraints on the form of the elastic constants.

Note there can be a linear "stress"-like term. This can be removed (except for transverse random components) by redefinition of the reference space



Elastic modulus tensor

 $K_{\alpha\beta\chi\delta}$ is the elastic constant or elastic modulus tensor. It has inherent symmetry and symmetries of the reference space.

$$K_{_{\alpha\beta\gamma\delta}} = K_{_{\gamma\delta\alpha\beta}} = K_{_{\beta\alpha\gamma\delta}} = K_{_{\alpha\beta\delta\gamma}}$$

Isotropic system

$$K_{\alpha\beta\gamma\delta} = \lambda\delta_{\alpha\beta}\delta_{\gamma\delta} + \mu(\delta_{\alpha\gamma}\delta_{\beta\delta} + \delta_{\alpha\delta}\delta_{\beta\delta})$$

Uniaxial (n = unit vector along uniaxial direction)

$$\begin{split} K_{\alpha\beta\gamma\delta} &= C_1 n_\alpha n_\beta n_\gamma n_\delta + C_2 (n_\alpha n_\beta \delta_{\gamma\delta}^T + n_{\gamma\delta} n_\beta \delta_{\alpha\beta}^T) \\ &+ C_3 \delta_{\alpha\beta}^T \delta_{\gamma\delta}^T + \frac{1}{2} C_4 (\delta_{\alpha\gamma}^T \delta_{\beta\delta}^T + \delta_{\alpha\delta}^T \delta_{\beta\gamma}^T) + \\ &+ \frac{1}{4} C_5 (n_\alpha n_\gamma \delta_{\beta\delta}^T + n_\alpha n_\delta \delta_{\beta\gamma}^T + n_\beta n_\delta \delta_{\alpha\gamma}^T + n_\beta n_\gamma \delta_{\alpha\delta}^T) \end{split}$$



Isotropic and Uniaxial Solid

Isotropic: free energy density *f* has two harmonic elastic constants

$$f = f(\underline{\Lambda}) = f(\underline{U}\Lambda\underline{V}^{-1})$$

$$f = f(\underline{u}) = f(\underline{V}\underline{u}\underline{V}^{-1})$$

$$= \frac{1}{2}Bu_{\alpha\alpha}^{2} + \mu \operatorname{Tr}\underline{\tilde{u}}^{2} - C\operatorname{Tr}\underline{\tilde{u}}^{3} + D\left(\operatorname{Tr}\underline{\tilde{u}}^{2}\right)^{2}$$

$$\mu = \text{shear modulus;}$$

$$B = \text{bulk modulus}$$
Uniaxial: five harmonic elastic constants
$$f = \frac{1}{2}C_{1}u_{zz}^{2} + C_{2}u_{zz}u_{\nu\nu} + \frac{1}{2}C_{3}u_{\nu\nu}^{2}$$

$$+ C_{4}u_{\nu\tau}^{2} + C_{5}u_{\nuz}^{2};$$

$$\mathbf{x}_{\alpha} = (\mathbf{x}_{\nu}, x_{z})$$

$$\mu = \text{shear modulus;}$$

$$B = \text{bulk modulus}$$

$$\mathbf{Invariant under}$$

$$\mathbf{R}(\mathbf{x}) \rightarrow \underline{U}\mathbf{R}(\underline{V}_{uui}\mathbf{x})$$

$$+ C_{4}u_{\nu\tau}^{2} + C_{5}u_{\nuz}^{2};$$

$$\mathbf{x}_{\alpha} = (\mathbf{x}_{\nu}, x_{z})$$

Derevelance of PEN

Force and stress I

$$f_{i} = \partial_{\alpha} \sigma_{i\alpha} \qquad F^{\text{ext}} = \int d^{D} x f_{i} u_{i} = -\int d^{D} x \sigma_{i\alpha} \partial_{\alpha} u_{i}$$

external force density – vector in target space. The stress tensor $\sigma_{i\alpha}$ is mixed. This is the engineering or 1st Piola-Kirchhoff stress tensor = force per area of reference space. It is not necessarily symmetric!

$$-\frac{\delta F}{\delta u_{i}(\mathbf{x})} = \int d^{D}x' \frac{\partial f}{\partial u_{\alpha\beta}(\mathbf{x}')} \frac{\delta u_{\alpha\beta}(\mathbf{x}')}{\delta u_{i}(\mathbf{x})} = f_{i} = -\partial_{\alpha}\sigma_{i\alpha}$$

$$\frac{\delta u_{_{\alpha\beta}}(\mathbf{x}')}{\delta u_{_{i}}(\mathbf{x})} = \frac{1}{2} (\Lambda_{_{i\alpha}} \partial_{_{\beta}}' + \Lambda_{_{i\beta}} \partial_{_{\alpha}}') \delta(\mathbf{x} - \mathbf{x}') \qquad \sigma_{_{i\alpha}} = \Lambda_{_{i\beta}} \frac{\partial f}{\partial u_{_{\beta\alpha}}} \equiv \Lambda_{_{i\beta}} \sigma_{_{\beta\alpha}}^{_{II}}$$

 $\sigma_{\alpha\beta}{}^{II}$ is the second Piola-Kirchhoff stress tensor - symmetric

Note: In a linearized theory, $\sigma_{i\alpha} = \sigma_{i\alpha}^{II}$



Cauchy stress

The Cauchy stress is the familiar force per unit area in the target space. It is a symmetric tensor in the target space.

$$\int d^d x \, \sigma^I_{_{ilpha}} \partial_{_lpha} u_{_i} = \int d^d R \, \sigma^C_{_{ij}}
abla_{_j} u_{_j}$$

$$abla_i \equiv rac{\partial}{\partial R_i}$$

$$d^d R = \det \mathop{\Lambda}\limits_{\sim} d^d x$$

$$\partial_{\alpha} = \frac{\partial}{\partial x_{\alpha}} = \frac{\partial R_i}{\partial x_{\alpha}} \frac{\partial}{\partial R_i} = \Lambda_{i\alpha} \nabla_{\alpha}$$

$$\sigma^{\scriptscriptstyle C}_{_{ij}} = \frac{1}{\det \bigwedge} \sigma^{\scriptscriptstyle I}_{_{i\alpha}} \Lambda^{\scriptscriptstyle T}_{_{\alpha j}} = \frac{1}{\det \bigwedge} \Lambda_{_{i\alpha}} \sigma^{\scriptscriptstyle II}_{_{\alpha\beta}} \Lambda^{\scriptscriptstyle T}_{_{\alpha j}}$$

$$ec{\sigma}^{\scriptscriptstyle C} = rac{1}{\det \Lambda} \Lambda ec{\sigma}^{\scriptscriptstyle II} \Lambda^{\scriptscriptstyle T}$$

Symmetric as required



Coupling to other fields

We are often interested in the coupling of target-space vectors like an electric field or the nematic director to elastic strain. How is this done? The strain tensor $u_{\alpha\beta}$ is a scalar in the target space, and it can only couple to target-space scalars, not vectors.

Answer lies in the polar decomposition theorem

$$\Lambda = \Lambda (\Lambda^T \Lambda)^{-1/2} (\Lambda^T \Lambda)^{1/2} \equiv \Theta M^{1/2}$$

$$M = \Lambda^T \Lambda = (\delta + 2u); \quad \Theta = \Lambda M^{-1/2}$$

$$\tilde{Q}\tilde{Q}^{T} = \tilde{\Lambda}\tilde{M}^{-1/2}(\tilde{\Lambda}\tilde{M}^{-1/2})^{T} = \tilde{\Lambda}\tilde{M}^{-1/2}\tilde{M}^{-1/2}\tilde{\Lambda}^{T} = \tilde{\Lambda}(\tilde{\Lambda}^{T}\tilde{\Lambda})^{-1}\tilde{\Lambda}^{T} = \tilde{\delta}$$

M is symmetric and depends on u only.

Q is an orthogonal, unimodular rotation matrix





Target-reference conversion

The rotation matrix Q converts target-space

vectors $E_{_i}$ to reference-space vectors $ilde{E}_{_lpha}$ and vice-versa

$$E_{i}^{}=O_{ilpha}^{} ilde{E}_{lpha}^{}; \quad ilde{E}_{lpha}^{}=O_{lpha i}^{T}E_{i}^{}$$

$$\begin{array}{l} \text{If } \underline{\Lambda} \text{ is symmetric, } \mathbf{O}_{i\alpha} = \delta_{i\alpha} . \\ \\ O_{i\alpha} \approx \delta_{i\alpha} + \frac{1}{2} (\partial_{\alpha} u_i - \partial_i u_{\alpha}) \\ \\ \approx \delta_{i\alpha} - \varepsilon_{i\alpha k} \Omega_k \end{array}$$

To linear order in u, $O_{i\alpha}$ has a term proportional to the antisymmetric part of the strain matrix.



Strain and Rotation



 $\tilde{\mathbf{n}}$ is a reference space vector; it is equal to the target space vector that is obtained when $\underline{\Lambda}$ is symmetric



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Sample couplings Coupling of electric field to strain

$$\begin{split} u_{\alpha\beta}\tilde{E}_{\alpha}\tilde{E}_{\beta} &= E_{i}O_{i\alpha}u_{\alpha\beta}O_{\beta j}^{T}E_{j} \equiv v_{ij}E_{i}E_{j} \\ QuQ^{T} &= \frac{1}{2}\Lambda(\Lambda^{T}\Lambda)^{-1/2}(\Lambda^{T}\Lambda - \delta)(\Lambda^{T}\Lambda)^{-1/2}\Lambda^{T} \\ &= \frac{1}{2}(\Lambda\Lambda^{T} - \delta) = v \end{split}$$

Free energy no longer depends on the strain $u_{\alpha\beta}$ only. The electric field defines a direction in the target space as it should

$$f^{T} = f(\underline{u}) - gE_{i}E_{j}v_{ij}$$

Energy depends on both symmetric and anti-symmetric parts of η '

$$\Lambda_{_{i\alpha}} = \frac{\partial R_{_{i}}}{\partial x_{_{\alpha}}} = \frac{\partial R_{_{i}}}{\partial x_{_{\beta}}'} \frac{\partial x_{_{\beta}}'}{\partial x_{_{\alpha}}} = \Lambda_{_{i\beta}}' \Lambda_{_{0\beta\alpha}}$$

$$\Lambda'_{ilpha}=\delta^{}_{ilpha}+\eta'_{ilpha}$$



Biopolymer Networks





cortical actin gel

neurofilament network



Characteristics of Networks

- Off Lattice
- Complex links, semi-flexible rather than random-walk polymers
- Locally randomly inhomogeneous and anisotropic but globally homogeneous and isotropic
- Complex frequency-dependent rheology
- Striking non-linear elasticity



Goals

- Strain Hardening (more resistance to deformation with increasing strain) – physiological importance
- Formalisms for treating nonlinear elasticity of random lattices
 - Affine approximation
 - Non-affine



Different Networks



Max strain ~.25 except for vimentin and NF

Max stretch: L(Λ)/L~1.13 at 45 deg to normal



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Semi-microscopic models



Random or periodic crosslinked network: Elastic energy resides in bonds (links or strands) connecting nodes

 \mathbf{R}_b = separation of nodes in bond b $V_b(|\mathbf{R}_b|)$ = free energy of bond b

$$\begin{split} F &= \sum_{b} V_{b}(\mathbf{R}_{b}) = N \left\langle V(\mathbf{R}) \right\rangle_{\mathbf{R}_{0}} \\ f &= \frac{F}{V} = n_{b} \left\langle V(\mathbf{R}) \right\rangle_{\mathbf{R}_{0}} \end{split}$$

 n_b = Number of bonds per unit volume of reference lattice



Affine Transformations



Reference network: Positions \mathbf{R}_0

$$f = \frac{F}{V} = n_{b} \left\langle V(\Lambda \mathbf{R}_{0}) \right\rangle_{\mathbf{R}_{0}}$$

Depends only on u_{ii}

Strained target network: $R_{i} = \Lambda_{ij} R_{0j}$ $\Lambda = Q (\Lambda^{T} \Lambda)^{1/2} = Q (1 + 2u)^{1/2}$ $Q = \Lambda (\Lambda^{T} \Lambda)^{-1/2} : \text{Orthogonal}$ $|\Lambda \mathbf{R}_{h}^{0}| = |(1 + 2u)^{1/2} \mathbf{R}_{h}^{0}|$



Example: Rubber

$$V(R) = \frac{3}{2}T\frac{R^2}{Nb^2}$$

Purely entropic force

$$F = n_b \left\langle V(\Lambda \mathbf{R}) \right\rangle_R = \frac{3}{2} \frac{T}{Nb^2} \left\langle \mathbf{R}_0 \Lambda^T \Lambda \mathbf{R}_0 \right\rangle_{R_0} = \frac{1}{2} T n_b \mathrm{Tr} \Lambda^T \Lambda$$

$$P(R) = \sqrt{\frac{3}{2\pi Nb^2}} \exp\left[-\frac{3R^2}{2Nb^2}\right]$$

$$\left\langle R_{_{0\,i}}R_{_{0\,j}}
ight
angle =rac{1}{3}\delta_{_{ij}}Nb^2$$

 $R_0^2 = Nb^2$

Average is over the end-to-end separation in a random walk: random direction, Gaussian magnitude



Rubber : Incompressible Stretch

$$f = \frac{1}{2} T n_{_{b}} \mathrm{Tr} \tilde{\Lambda}^{^{T}} \tilde{\Lambda} = \frac{1}{2} T n_{_{b}} \mathrm{Tr} (1 + 2\tilde{u})$$

Unstable: nonentropic forces between atoms needed to stabilize; Simply impose incompressibility constraint.

$$m{\Lambda} = egin{pmatrix} \Lambda^{-1/2} & 0 & 0 \ 0 & \Lambda^{-1/2} & 0 \ 0 & 0 & \Lambda \end{pmatrix}$$

$$f = \frac{1}{2} n_{_b} T \left(\Lambda^2 + \frac{2}{\Lambda} \right)$$



Rubber: stress -strain

$$f_z = \frac{\partial}{\partial L} (Vf) = \frac{\partial (A_R L_R f)}{\partial \Lambda L_R} = A_R \frac{\partial f}{\partial \Lambda}$$

reference space

Engineering stress

Physical Stress $A = A_R / \Lambda$ = Area in target space

Y=Young's modulus

$$\sigma^{e} = \frac{f_{z}}{A_{R}} = \frac{\partial f}{\partial \Lambda} = nT\left(\Lambda - \frac{1}{\Lambda^{2}}\right)$$

$$\sigma = \frac{f_z}{A} = \Lambda \frac{\partial f}{\partial \Lambda} = n T \left(\Lambda^2 - \frac{1}{\Lambda} \right)$$

$$Y = \frac{\sigma}{\gamma} = \frac{nT}{\gamma} \left((1+\gamma)^2 - \frac{1}{1+\gamma} \right) \sim 3nT$$



General Case

Engineering stress: not symmetric

$$\begin{split} & \stackrel{\mathrm{e}}{}_{ij} = \frac{\partial f}{\partial \Lambda_{ij}} = n \left\langle V'(\Lambda \mathbf{R}_{0}) \frac{(\Lambda \mathbf{R}_{0})_{i}}{|\Lambda \mathbf{R}_{0}|} R_{0j} \right\rangle_{\mathbf{R}_{0}} \\ & = n \left\langle \tau_{i}(\Lambda \mathbf{R}_{0}) R_{0j} \right\rangle = n \left\langle \tau(\Lambda \mathbf{R}_{0}) \frac{(\Lambda \mathbf{R}_{0})_{i}}{|\Lambda \mathbf{R}_{0}|} R_{0j} \right\rangle \end{split}$$

$$egin{aligned} &\sigma_{ij} dS_j = \sigma^{ ext{e}}_{ij} dS^{ ext{ref}}_j \ & dS_i = \det oldsymbol{\Lambda} \ \Lambda^{-1}_{ji} dS^{ ext{ref}}_j \end{aligned}$$

Central force

$$\tau(R) = \frac{dV(R)}{dR}$$

Physical Cauchy Stress: Symmetric

$$\sigma_{ij} = \frac{n}{\det \Lambda} \left\langle \frac{\tau(\Lambda \mathbf{R}_{0})}{|\Lambda \mathbf{R}_{0}|} \Lambda_{ik} R_{0k} \Lambda_{jl} R_{0l} \right\rangle_{\mathbf{R}_{0}}$$

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Semi-flexible Stretchable Link



$$egin{aligned} R\left[\mathbf{t},v
ight] &\equiv L = \int_{0}^{L_{0}} ds rac{dR_{z}}{ds} \ &pprox \int_{0}^{L_{0}} ds \, v \Big[1 - rac{1}{2} \mid \mathbf{t}_{\perp} \mid^{2}\Big] \end{aligned}$$

$$\mid \mathbf{t}(s) \mid = 1; \quad \mathbf{t}(s) = (\mathbf{t}_{\perp}(s), \sqrt{1 - \mid \mathbf{t}_{\perp}(s) \mid^2})$$

$$\frac{d\mathbf{R}}{ds} = v(s)\mathbf{t}(s) \qquad \qquad \left|\frac{d\mathbf{R}}{ds}\right| = v \quad \begin{array}{l} \mathbf{t} = \text{unit tangent} \\ v = \text{stretch} \end{array}$$

$$H = \frac{1}{2} \int ds \left[\kappa \left(\frac{d \mathbf{t}_{\perp}}{ds} \right)^2 + v \tau \mid \mathbf{t}_{\perp} \mid^2 + K(v-1)^2 \right]$$



Length-force expressions

 $L(\tau, K)$ = equilibrium length at given τ and K

$$\begin{split} L(\tau,K) &= \left(1 + \frac{\tau}{K}\right) L_0[1 - g(\varphi(\tau,K))];\\ g(\varphi) &= \frac{1}{2} \left\langle \mid \mathbf{t}_{\perp} \mid^2 \right\rangle = \frac{1}{\pi^2} \frac{L_0}{L_p} \sum_{n=1}^{\infty} \frac{1}{n^2 + \varphi};\\ &= \frac{L_0}{L_p} \frac{\pi \sqrt{\varphi} \coth(\pi \sqrt{\varphi}) - 1}{\pi^2 \varphi};\\ \varphi(\tau,K) &= \frac{L_0^2}{\kappa \pi^2} \tau \left(1 + \frac{\tau}{K}\right); L_p = \frac{\kappa}{k_B T} \end{split}$$



Force-extension Curves





Scaling at "Small" Strain

zero parameter fit to everything

Theoretical curve: calculated from K⁻¹=0





What are Nematic Gels?

 Homogeneous Elastic media with broken rotational symmetry (uniaxial, biaxial)

 Most interesting - systems with broken symmetry that develops spontaneously from a homogeneous, isotropic elastic state



Examples of LC Gels

1. Liquid Crystal Elastomers - Weakly crosslinked liquid crystal polymers



Nematic



Smectic-C

2. Tanaka gels with hard-rod dispersion

3. Anisotropic membranes



4. Glasses with orientational order





Properties I

 Large thermoelastic effects - Large thermally induced strains - artificial muscles



Courtesy of Eugene Terentjev

300% strain



Properties II

Large strain in small temperature range



Terentjev



Properties III

Soft or "Semi-soft" elasticity



Vanishing xz shear modulus



Soft stress-strain for stress perpendicular to order



Warner Finkelmann



Model for Isotropic-Nematic trans.

$$f = \frac{1}{2}Bu_{\alpha\alpha}^2 + \mu \mathrm{Tr}\underline{\tilde{u}}^2 - C\mathrm{Tr}\underline{\tilde{u}}^3 + D\left(\mathrm{Tr}\underline{\tilde{u}}^2\right)^2$$

$$\tilde{u}_{\scriptscriptstyle \alpha\beta} = u_{\scriptscriptstyle \alpha\beta} - \frac{1}{3} \delta_{\scriptscriptstyle \alpha\beta} u_{\scriptscriptstyle \gamma\gamma}$$

 μ approaches zero signals a transition to a nematic state with a nonvanishing

$$\tilde{u}_{_{\!\alpha\beta}}=S\!\left(n_{_{\!\alpha}}n_{_{\!\beta}}-\frac{1}{3}\delta_{_{\!\alpha\beta}}\right)$$



Spontaneous Symmetry Breaking

Phase transition to anisotropic state as μ goes to zero

$$\underline{u}_0 = \frac{1}{2} \left(\underline{\Lambda}_0^T \underline{\Lambda}_0 - \underline{\delta} \right)$$



Direction of \mathbf{n}_0 is arbitrary

$$egin{array}{ll} ilde{u}_{lphaeta} &= ilde{u}_{0lphaeta} \ &= \Psi(n^0_lpha n^0_eta - rac{1}{3} \delta_{lphaeta}) \end{array}$$

 $\delta + 2u_0$

Symmetric-Traceless part

$$u_{\alpha\alpha} \sim \Psi^2$$



Strain of New Phase

$$\begin{split} R_{i}(\mathbf{x}) &= \Lambda_{0ij} x_{j} + \delta u_{i}(\mathbf{x}) \\ &= x_{i}' + u_{i}'(\mathbf{x}') \\ \Lambda_{ij} &= \frac{\partial R_{i}}{\partial x_{j}} = \frac{\partial R_{i}}{\partial x_{k}'} \frac{\partial x_{k}'}{\partial x_{j}} = \Lambda_{ik}' \Lambda_{0kj} \end{split}$$

 \underline{u} ' is the strain relative to the new state at points x'

$$\begin{split} \delta \widetilde{y} &= \widetilde{y} - \widetilde{y}_0 \\ &= \frac{1}{2} \left(\Lambda^T \Lambda - \Lambda_0^T \Lambda_0 \right) \\ &= \Lambda_0^T \widetilde{y} \, ' \Lambda_0 \end{split}$$

 $\delta \underline{u}$ is the deviation of the strain relative to the original reference frame *R* from \underline{u}_0

$$\underline{u}' = \frac{1}{2} \left(\underline{\Lambda'}^T \underline{\Lambda'} - \underline{\delta} \right) \approx \frac{1}{2} (\underline{\eta'} + \underline{\eta'}^T)$$

 $\delta \underline{u}$ is linearly proportional to \underline{u} '



Elasticity of New Phase



Soft Extensional Elasticity





Strain u_{xx} can be converted to a zero energy rotation by developing strains u_{zz} and u_{xz} until $u_{xx} = (r-1)/2$



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Frozen anisotropy: Semi-soft

System is now uniaxial – why not simply use uniaxial elastic energy? This predicts linear stress-stain curve and misses lowering of energy by reorientation:

$$f = \frac{1}{2}C_{1}u_{zz}^{2} + C_{2}u_{zz}u_{\nu\nu} + \frac{1}{2}C_{3}u_{\nu\nu}^{2} + C_{4}u_{\nu\tau}^{2} + C_{5}u_{\nuz}^{2}$$

Model Uniaxial system: Produces harmonic uniaxial energy for small strain but has nonlinear terms – reduces to isotropic when h=0

$$f^{h}(\underline{u}) = f(\underline{u}) - hu_{zz}$$

f(u) : isotropic

Rotation
$$u \to u' = u + \theta \begin{pmatrix} -2u_{xz} & u_{xx} - u_{zz} \\ u_{xx} - u_{zz} & 2u_{xz} \end{pmatrix}$$

 $f^{h}(\underline{u}') = f(\underline{u}) - h(u_{zz} + 2\theta u_{xz})$



Semi-soft stress-strain

Ward Identity

$$egin{aligned} &rac{df^h}{d heta} = -2hu_{xz} = 2\sigma_{xz}(u_{xx}-u_{zz}) + 2(\sigma_{zz}-\sigma_{xx})u_{xz} \ &\sigma_{xz} = rac{(\sigma_{xx}-h)u_{xz}}{u_{xx}-u_{zz}} \Rightarrow u_{xz} = 0 \ ext{or} \ \sigma_{xx} = h \end{aligned}$$

$$\sigma_{_{lphaeta}}=rac{\partial f^h}{\partial u_{_{lphaeta}}}$$



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Second Piola-Kirchoff stress tensor.

Semi-soft Extensions

Break rotational symmetry



Stripes form in real systems: semi-soft, BC



Not perfectly soft because of residual anisotropy arising from crosslinking in the the nematic phase - **semi-soft.** length of plateau depends on magnitude of spontaneous anisotropy *r*.

Warner-Terentjev

Finkelmann, et al., J. Phys. II **7**, 1059 (1997); Warner, J. Mech. Phys. Solids **47**, 1355 (1999) Note: Semi-softness only visible in nonlinear properties



Softness with Director

 N_{α} = unit vector along uniaxial direction in reference space; layer normal in a locked SmA phase

$$\tilde{\mathbf{n}}_{\alpha} = (\tilde{n}_{\nu}, \tilde{n}_{z}) \qquad \tilde{n}_{\nu}^{2} = 1 - (N_{\alpha} \cdot \tilde{n}_{\alpha})^{2} \equiv c_{\nu}^{2}; \qquad u_{zz} = N_{\alpha} u_{\alpha\beta} N_{\beta}, \text{ etc.}$$

Red: SmA-SmC transition

$$\begin{split} f &= \frac{1}{2}C_{1}u_{zz}^{2} + C_{2}u_{zz}u_{\nu\nu} + \frac{1}{2}C_{3}u_{\nu\nu}^{2} + C_{4}u_{\nu\tau}^{2} + \lambda_{1}\tilde{n}_{\nu}^{2}u_{zz} \\ &+ C_{5}u_{\nuz}^{2} + D_{2}\tilde{n}_{\nu}\tilde{n}_{z}u_{\nuz} + \frac{1}{2}D_{1}\tilde{n}_{\nu}^{2} + \frac{1}{4}g\tilde{n}_{\nu}^{4} + \lambda_{2}\tilde{n}_{\nu}^{2}u_{\tau\tau} + \cdots \\ &= \frac{1}{2}C_{1}u_{zz}^{2} + C_{2}u_{zz}u_{\nu\nu} + \frac{1}{2}C_{3}u_{\nu\nu}^{2} + C_{4}u_{\nu\tau}^{2} \\ &+ \frac{1}{2}D_{1}[\tilde{n}_{\nu} + (D_{2}/D_{1})u_{\nuz}]^{2} + [C_{5} - \frac{1}{2}(D_{2}^{2}/D_{1})]u_{\nuz}^{2} \end{split}$$

Director relaxes to zero

$$C_5^{\scriptscriptstyle R} = C_5 - \frac{1}{2} \frac{D_2^2}{D_1} = 0 \Rightarrow \textbf{Soft}$$

