

I'd love to hear if anyone has ideas on how to solve these; please email me with questions or comments: chb at sas dot upenn dot edu.

# 1, Discriminant. June 2008, updated 20081110

Show that the discriminant of  $P(u) = (u^n + K)^2 - u^{2n-1}(T + u)^2$  is:

$$(-1)^n K^{4(n-1)} (K + (-T)^n)^2 Q_{2n+1}(K, T) \quad (1)$$

where  $Q_{2n+1}(K, T)$  is a polynomial of degree  $2n + 1$  in  $T$ . Find an expression for  $Q_{2n+1}$  if possible.

This polynomial arises in the classification of radially anisotropic Delaunay (RAD) surfaces[1]. These are extremizers of the following functional:

$$2\pi\gamma \int dr dz \left[ r\sqrt{1+r^2} \left( 1 + \frac{K}{r^{2n}} \right) - \frac{1}{2}r^2 \right]$$

$T$  is the first integral of this functional, a “tension”. The discriminant  $Q$  characterizes the boundaries of the different “phases” where we get particular families of surfaces.

We may interpret the factors other than  $Q_{2n+1}$  in the following way. First, the factors of  $K$  out front correspond to a  $K = 0$  solution - this corresponds to the ordinary Delaunay surface case, and the “multiple roots” are just degenerate solutions  $u = 0$ , as may be confirmed by letting  $K = 0$  in  $P(u)$ , and we will discard these.

The next factor  $(K + (-T)^n)^2$  corresponds to setting  $K = -(-T)^n$ . In this case,  $P(u)$  factors easily:

$$P(u) = (u^n + (-T)^n)^2 - (T + u)^2 u^{2n-1} \quad (2)$$

$$= (T + u)^2 \left[ \sum_{j=0}^{2n} (-1)^j T^j u^{2n-j} - u^{2n-1} \right] \quad (3)$$

The fact that  $u^n + (-T)^n$  has a factor of  $T + u$  follows easily from polynomial division and probably more sophisticated arguments. Roughly speaking it generalizes the factorization of the difference of squares. What this shows is that the case  $K = -(-T)^n$  corresponds to a double root at  $u = -T$ , and since  $T = -(-K)^{1/n} > 0$  when  $K > 0$ , this double root is at a negative real value of  $u$  and so does not correspond to a surface when  $K > 0$ .

This reduces the study of the number of positive real solutions of  $P(u)$  when  $K > 0$  to the study of the polynomial  $Q_{2n+1}$ .

I suspect that  $Q_{2n+1}$  has only one real root in general (bonus points if you can show this), which would mean that RAD surfaces cannot form unduloids after  $K$  increases past a certain critical  $K_c$  for any  $n$ , where  $K_c$  would be the root of the discriminant of  $Q_{2n+1}$ .

## 2, Elliptic Integrals and Circles. July 2008

Here are a few more problems from the RAD surface paper[1]. Below,  $T$  is a “tension”,  $K$  is roughly an elastic constant, and  $z_j(r)$  is the generating curve for an axisymmetric surface which minimizes the functional (note that we’ve set  $n = 1$ ):

$$2\pi\gamma \int dr dz \left[ r\sqrt{1 + \dot{r}^2} \left( 1 + \frac{K}{r^2} \right) - \frac{1}{2}r^2 \right]$$

Given:

$$\begin{aligned} P(u) &= (u + K)^2 - u(T + u)^2 \\ &= -u^3 + (1 - 2T)u^2 + (2K - T^2)u + K^2 \end{aligned}$$

with  $u_1, u_2, u_3$  its roots, with  $u_1 < u_2 < u_3$  when all are real. The following asymptotic problems on the integral  $z_j(r) = \int_{r^2}^{u_j} \frac{(T+u)du}{2\sqrt{P(u)}}$  occur as  $u_j$  becomes quite separated from one (or two) of the other roots. In both problems below, we want proofs of the numerical fact that  $z_j(r)$  approaches a semicircular (or perhaps elliptical) function  $A\sqrt{R_1^2 - (r - R_2)^2}$ .

We can show that  $u_1 \sim \frac{K^2}{T^2 - 2K}$ ,  $u_{2,3} \sim -T \pm \sqrt{2K - T - \frac{K^2}{T}}$  as  $K, T \rightarrow \infty$ , by dominant balance arguments. For  $u_1$  balance the terms  $K^2$  and  $(2K - T^2)u$ , and for  $u_{2,3}$  solve the following “quadratic equation”:

$$\begin{aligned} P(u) &= u(-u^2 + (1 - 2T)u + (2K - T^2) + K^2/u) = 0 \\ u &\sim \frac{(2T - 1) \pm \sqrt{(1 - 2T)^2 - 4(-1)(2K - T^2 + K^2/u)}}{2(-1)} \\ &= -T + \frac{1}{2} \pm \sqrt{2K - T + \frac{1}{4} + \frac{K^2}{u}} \\ &\sim -T + \frac{1}{2} \pm \sqrt{2K - T + \frac{1}{4} + \frac{K^2}{-T}} \\ &\sim -T \pm \sqrt{2K - T - \frac{K^2}{T}} \end{aligned}$$

### a, Tiny bubble as $|T| \rightarrow \infty$ , Updated 20081110

When  $|T| \rightarrow \infty$  at constant  $K$ , the above expressions for  $u_1, u_{2,3}$  simplify:

$$\begin{aligned} u_1 &\sim \frac{K^2}{T^2 - 2K} \sim \frac{K^2}{T^2} \\ u_{2,3} &\sim -T \pm \sqrt{-T + 2K} \sim -T \pm \sqrt{-T} \end{aligned}$$

Note that this must break down wherever  $u_1$  collides with  $u_2$ . This gives us a Cauchy-like “distance to the nearest singularity” which controls the convergence of these asymptotics.

We can find these points by computing the roots of  $Q_3(T, K) = 4K + 27K^2 - 18KT - T^2 + 4T^3$ , the cubic factor of the discriminant of  $P(u)$ , as the discriminant gives the condition for double roots. It turns out that if we name the roots  $T_1 < 0 < T_2 < T_3$  when  $0 < K < 1/27$ , or  $T_1 < 0$  and  $T_2$  and  $T_3$  are the complex conjugate pair when  $K > 1/27$ , at  $T_1$  and  $T_2$  (if  $T_2$  is real),  $u_1$  and  $u_2$  collide. Hence below, we only can take asymptotics when,  $|T| > \max(|T_1|, |T_2|)$ .

We find numerically and can show that as  $|T| \rightarrow \infty$ :

$$\begin{aligned} z_1(r) &= \int_{r^2}^{u_1} \frac{(T+u)du}{2\sqrt{P(u)}} \\ &\sim -\frac{T}{|T|} \sqrt{u_1 - r^2} \end{aligned}$$

As my coauthor points out, there was a typo with a factor of  $\frac{1}{2}$  missing in the first line, hence the following heuristic argument actually does work, contrary to my mistake earlier:

$$\begin{aligned} z_1(r) &\sim \int_{r^2}^{u_1} \frac{(T+u)du}{2\sqrt{(u_1-u)((T+u)^2+T)}} \\ &\sim \int_{r^2}^{u_1} \frac{Tdu}{2\sqrt{(u_1-u)|T|}} \\ &\sim -\frac{T}{|T|} \sqrt{u_1 - r^2} \end{aligned}$$

So the open part of the problem is to improve these asymptotics in order to show the empirical fact that  $z_1(r)$  is actually well-described by an ellipse for a larger range of  $|T|$ . This ellipse of course must circular in the large  $|T|$  limit.

Hence the adjustment will be to find some function  $f(T)$  in  $z_1(r) \sim -\frac{T}{|T|} f(T) \sqrt{u_1 - r^2}$  where  $f(T)$  approaches 1 as  $T$  increases. It can be shown from the integral that  $|z_1(0)|$  diverges as  $T \rightarrow T_1, T_2$ . Hence,  $f(T)$  should diverge at  $T_1$  or  $T_2$ , and decrease to 1 as  $|T| \rightarrow \infty$ . Numerically,  $f(T)$  diverges like  $\log(T - T_1)$  near  $T_1$  and behaves like  $1 + K/T^2$  as  $T \rightarrow \infty$ .

It would be nice to see all of this in greater generality.

## b, Torus as $K \rightarrow \infty$

Show that if we define  $T_{torus}$  to be the value of  $T$  such that:

$$\begin{aligned} 0 &= \int_{u_2}^{u_3} \frac{(T+u)du}{\sqrt{P(u)}} \\ &= \frac{T+u}{\sqrt{u_3-u_1}} K \left( \sqrt{\frac{u_3-u_1}{u_3-u_2}} \right) + \sqrt{u_3-u_1} E \left( \sqrt{\frac{u_3-u_1}{u_3-u_2}} \right) \end{aligned}$$

then  $T_{torus} \sim -3K - \frac{2}{3}$  as  $K \rightarrow \infty$ , and hence  $u_1 \sim 1/9$ ,  $u_{2,3} \sim 3K \pm \sqrt{\frac{16}{3}K}$ .

Next show that the elliptic integral below has the following asymptotic behavior as  $K \rightarrow \infty$  (with  $T = T_{torus}$ ), and  $u_2 < r^2 < u_3$ :

$$z_3(r) = \int_{r^2}^{u_3} \frac{(T_{torus} + u) du}{2\sqrt{P(u)}} \\ \sim \sqrt{\frac{4}{9} - (r - \sqrt{3K})^2}$$

The scales  $4/9 = (2/3)^2$  and  $\sqrt{3K}$  can be derived from our values of  $u_2, u_3$  via  $\frac{2}{3} \sim \frac{\sqrt{u_3} - \sqrt{u_2}}{2}$  and  $\sqrt{3K} \sim \frac{\sqrt{u_3} + \sqrt{u_2}}{2}$ .

## References

- [1] B. G. Chen and R.D. Kamien, <http://arxiv.org/abs/0811.2193> (2008).